

# Power measures and the sequential query process

Mark C. Wilson  
UMass Amherst

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## TU games and simple games

- ▶ A monotone **TU**-game is a cooperative game  $G = (X, v)$  given in coalitional form: for the player set  $X$  there is a map  $v : 2^X \rightarrow \mathbb{R}$  with  $v(\emptyset) = 0$ , and  $A \subseteq B$  implies  $v(A) \leq v(B)$ .
- ▶ A **simple game** is a TU game where  $v(A) \in \{0, 1\}$  for all  $A$ .
- ▶ A **winning coalition** in a simple game is a subset  $A \subseteq X$  with  $v(A) = 1$ .
- ▶ The **marginal function** of player  $i$  is

$$\partial_i v(A) = v(A) - v(A \setminus \{i\}).$$

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## Sequential random query process for simple games

- ▶ Sample uniformly without replacement until we have seen enough players to have a winning coalition.
- ▶ The number  $Q$  of queries is at least  $k + 1$  if and only if the first  $k$  do not form a winning coalition.
- ▶ Hence  $Q$  is a random variable with expectation

$$E[Q(G)] = n+1 - \sum_{k=1}^n P(\text{random coalition of size } k \text{ is winning}).$$

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## Motivating example

- ▶ Devise a less crude measure of the manipulability of a voting rule.
- ▶ The most commonly used measure simply gives 0 or 1 for a given profile, depending on whether it is manipulable by some coalition or not.
- ▶ A more sophisticated measure is the size of the minimum manipulating coalition.
- ▶ We want to see how easy it would be to assemble a manipulating coalition - the query model is one idea.
- ▶ Concrete example: Borda rule, 2 voters  $abc$ , 1 voter  $bac$ , 1 voter  $cba$ .

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## Collective and individual measures

- ▶ The process is equivalently described via first choosing a probability measure  $\mu_n$  on  $\{0, \dots, n\}$ , sampling from it and then choosing a subset of that size uniformly at random.
- ▶ The function  $Q_F^*(G)$  is a measure of **decisiveness** that generalizes Coleman's index.
- ▶ The marginal  $q_F^*(G)$  of  $Q_F^*(G)$  is a **power index**.
- ▶ The simplest  $F$  (corresponding to uniformly choosing the size of the subset) gives a new measure, that we call  $Q_0^*(G)$ .
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## Generalization to TU-games

- ▶ The obvious definition is

$$Q_F^*(G) = \sum_{S \subseteq X} \binom{n}{|S|} \mu_n(|S|) v(S)$$

and

$$q_F^*(G) = \sum_{S \subseteq X} \binom{n}{|S|} \mu_n(|S|) \partial_i v(S).$$

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Name	$F(n, k)$	$\mu_n(k)$	Value on voting game
Coleman/Banzhaf	$2^{-n} \sum_{j \geq k} \binom{n}{j}$	Bin(1/2)	$3/4 = 0.75$
Shapley	$1 - H_{k-1}/H_n$	Unif(1..n)	$36/50 = 0.72$
$Q_0^*/q_0^*$	$1 - k/(n + 1)$	Unif(0..n)	$2/3 \approx 0.67$

## Another connection to the Shapley value

- ▶ In applications of Shapley the grand coalition is always winning and we seek to divide up the surplus.
- ▶ In some applications the grand coalition is not winning (e.g. the voting game example above).
- ▶ If we allow this, our  $q_I^*$  are precisely analogous to **semivalues** and the characterization theorem of Dubey, Neyman & Weber (1981) extends naturally.
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## Future work

- ▶ An entire class of values/power indices and potentials/decisiveness indices remains to be explored.
- ▶ Axiomatic characterization of these new values.
- ▶ Finding applications of new values such as  $q_0^*$ . We give applications to manipulability of voting rules. Perhaps machine learning applications?
- ▶  $Q_0^*$  appears better than Coleman in discriminating between simple games, and should be studied more.

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