Diagonal asymptotics for products of combinatorial classes Or: the diagonal method is still not very good

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<span id="page-0-0"></span>AofA, Menorca, 2013-05-30

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- <span id="page-4-0"></span>In 2012, I saw that the word has not yet spread far enough. Multivariate methods are more general, conceptually simpler, and, I claim, computationally superior.

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<span id="page-8-0"></span>Suppose we replace "two" by d,  $\mathbb N$  by other combinatorial classes, allow different n for different compositions,...?

#### Recent work

<span id="page-9-0"></span> $\triangleright$  Bóna & Knopfmacher 2010: consider compositions with parts in fixed set  $S \subseteq \mathbb{N}$ . Explicit formulae in some cases.

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<span id="page-10-0"></span> $\blacktriangleright$  Banderier & Hitczenko 2012: generalize from 2 to  $d$ compositions, different restriction  $S$  for each one. Some explicit formulae and asymptotics.

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- Allow different sums  $(n_1, \ldots, n_d)$  for the d compositions.
- <span id="page-13-0"></span> $\blacktriangleright$  Use the symbolic method. Let  $F(\mathbf{x},\mathbf{y}) = \sum a_{\mathbf{n}} \mathbf{x}^{\mathbf{n}} \mathbf{y}^{\mathbf{k}}$  be the 2d-variate generating function, where x marks size and y marks number of components. Here  $F(\mathbf{x}, \mathbf{y})$  factors as  $\prod_{i=1}^d F_i(x_i,y_i)$ .

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- <span id="page-14-0"></span> $\blacktriangleright$  The number of d-tuples of objects with the same number of components is  $[\mathbf{x^n}] \, \mathrm{diag}_{\mathbf{y}}\, F(\mathbf{x},\mathbf{1}).$  In particular for the simplest case where all  $n_i = n$ ,

$$
[\mathbf{x}^{n1}]\operatorname{diag}_{\mathbf{y}} F(\mathbf{x}, 1) = \sum_{k \ge 0} (a_{nk})^d =: b_n.
$$

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#### Aside: exact solutions

 $\blacktriangleright$  When  $d = 2$ , we have a good chance of finding an exact solution. For Dyck walks

$$
\sum_{\substack{0 \le k \le n \\ 2|(n-k)}} \left[ \frac{k+1}{n+1} \binom{n+1}{\frac{n-k}{2}} \right]^2 = \frac{1}{n+1} \binom{2n}{n}.
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<span id="page-15-0"></span>More generally, when  $(a_{nk})$  is a Riordan array, namely the case  $F_i(x, y) = \phi(x)/(1 - yv(x))$ , we discover new identities of this type that are not in OEIS.

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<span id="page-16-0"></span>► When  $d \geq 3$ , exact solutions are rare. For example,  $b_n = \sum_k {n \choose k}$  $\left( \begin{smallmatrix} n \ k \end{smallmatrix} \right)^3$  is known not to have an algebraic generating function.

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- <span id="page-19-0"></span>It seems that the work needed is enormous even for rather modest-looking problems. For example, the defining linear differential equation for  $\sum_k\binom{n-k}{k}$  $\binom{-k}{k}^5$  has order 6 with polynomial coefficients of degree 38. Banderier and Hitczenko report: "Current state of the art algorithms will take more than one day for  $d = 6$ , and gigabytes of memory .... "

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- <span id="page-20-0"></span> $\blacktriangleright$  How to do it for general d? Also, the diagonal method does not yield asymptotics that are uniform in the slope of the diagonal; performance away from the [mai](#page-19-0)n [d](#page-21-0)[i](#page-16-0)[a](#page-17-0)[g](#page-20-0)[o](#page-21-0)[n](#page-0-0)[a](#page-1-0)[l is](#page-43-0)[b](#page-1-0)[ad](#page-43-0)[.](#page-0-0) $\bar{\Xi}$

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- <span id="page-24-0"></span> $\blacktriangleright$  In general, such methods say nothing about higher order terms, or when there is not a continuous limit. Still, this approach is a useful complement to the above methods.

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	- $\triangleright$  We want numerical approximations for smaller values of n.
- <span id="page-30-0"></span> $\triangleright$  This topic was the subject of two papers with Alex Raichev. For example, our 2nd order approximation for  $\sum_{k=0}^n{n\choose k}$  $\binom{n}{k}^5$ , even for  $n = 8$ , has relative error only  $0.5\%$ , but  $10\%$  for 1st order.

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- <span id="page-32-0"></span>In the compositional problem, provided each  $F_i$  is a smooth bivariate GF, asymptotics of  $F$  are controlled by smooth points, fairly well understood since 2002. In particular, supercritical Riordan arrays are almost trivial. This covers almost every problem in the above papers and many more.

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- <span id="page-35-0"></span> $\blacktriangleright$  For more, see the book (next talk!).

# General asymptotic formula (supercritical Riordan case)

<span id="page-36-0"></span> $\blacktriangleright$  The simplest result where all  $F_i$  are equal and we seek asymptotics on the main diagonal  $n = n1$  is as follows.

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# General asymptotic formula (supercritical Riordan case)

- $\blacktriangleright$  The simplest result where all  $F_i$  are equal and we seek asymptotics on the main diagonal  $n = n1$  is as follows.
- ► Suppose  $F_i(x, y) = \phi(x)/(1 yv(x))$  and  $\phi$  has radius of convergence large enough. Let  $c > 0$  solve  $v(c) = 1$ . Then

$$
b_{n1} \sim c^{-dn} n^{-d/2} \sum_{l} c_l n^{-l}
$$
 where  $c_l$  is explicitly computable.

<span id="page-37-0"></span>In particular

$$
c_0 = \frac{\phi(c)^d}{\sqrt{d}\mu_v(c)\left[2\pi \frac{\sigma_v^2(c)}{\mu_v(c)}\right]^{\frac{d-1}{2}}}.
$$

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# **Examples**

<span id="page-38-0"></span>

 $\sum_{n=1}^{\infty}$  $_{k=0}$  $\sqrt{n}$ k  $\bigg)^d \sim$  $\sqrt{2^{d-1}}$ d  $2^{dn}$  $(\pi n)^{\frac{d-1}{2}}$ .



# **Examples**

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 $\sum_{n=1}^{\infty}$  $_{k=0}$  $\sqrt{n}$ k  $\bigg)^d \sim$  $\sqrt{2^{d-1}}$ d  $2^{dn}$  $(\pi n)^{\frac{d-1}{2}}$ .  $\sum_{n=1}^{\infty}$  $_{k=0}$  $\sqrt{n}$ k  $\Big)^6 \sim 64^n$  $\begin{pmatrix} 4 \end{pmatrix}$ √ 3  $3(\pi n)^{\frac{5}{2}}$  $-\frac{25\sqrt{3}}{5}$  $9\pi^{\frac{5}{2}}n^{\frac{7}{2}}$  $\setminus$ 

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# **Examples**

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$$
\sum_{k=0}^{n} {n \choose k}^d \sim \sqrt{\frac{2^{d-1}}{d}} \frac{2^{dn}}{(\pi n)^{\frac{d-1}{2}}}.
$$
\n
$$
\sum_{k=0}^{n} {n \choose k}^6 \sim 64^n \left(\frac{4\sqrt{3}}{3(\pi n)^{\frac{5}{2}}} - \frac{25\sqrt{3}}{9\pi^{\frac{5}{2}} n^{\frac{7}{2}}}\right)
$$
\n
$$
\sum_{k\geq 0} {6n \choose k} {3n \choose k} {2n \choose k} \sim \left(\frac{524288}{729}\right)^n \left[\frac{4\sqrt{11}}{33\pi n} - \frac{5446}{395307} \frac{\sqrt{11}}{\pi n^2}\right]
$$

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- $\triangleright$  Once the diagonal GF is found, the asymptotic extraction is quicker, since it is a univariate problem. The multivariate method typically requires solving systems of algebraic equations.
- <span id="page-43-0"></span> $\blacktriangleright$  I suggest a serious theoretical and experimental comparison of the performance of these methods. If done experimentally, we need to implement the methods equally. I know which one I would bet on to win!