Diagonal asymptotics for products of combinatorial classes Or: the diagonal method is still not very good

> Mark C. Wilson www.cs.auckland.ac.nz/~mcw/

Department of Computer Science University of Auckland

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- No Maple package, but there is now a reasonable implementation in Sage (available at Alex's website). Needs some algorithmic speedups. Any volunteers?
- In 2012, I saw that the word has not yet spread far enough. Multivariate methods are more general, conceptually simpler, and, I claim, computationally superior.

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Suppose we replace "two" by d, N by other combinatorial classes, allow different n for different compositions,...?

#### Recent work

Bóna & Knopfmacher 2010: consider compositions with parts in fixed set S ⊆ N. Explicit formulae in some cases.

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Banderier & Hitczenko 2012: generalize from 2 to d compositions, different restriction S for each one. Some explicit formulae and asymptotics.

 Generalize restricted composition of integers to sequence construction applied to arbitrary combinatorial classes S<sub>i</sub>.

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- ▶ Use the symbolic method. Let  $F(\mathbf{x}, \mathbf{y}) = \sum a_{\mathbf{n}} \mathbf{x}^{\mathbf{n}} \mathbf{y}^{\mathbf{k}}$  be the 2*d*-variate generating function, where  $\mathbf{x}$  marks size and  $\mathbf{y}$  marks number of components. Here  $F(\mathbf{x}, \mathbf{y})$  factors as  $\prod_{i=1}^{d} F_i(x_i, y_i)$ .

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- ► The number of *d*-tuples of objects with the same number of components is [x<sup>n</sup>] diag<sub>y</sub> F(x, 1). In particular for the simplest case where all n<sub>i</sub> = n,

$$[\mathbf{x}^{n\mathbf{1}}]\operatorname{diag}_{\mathbf{y}} F(\mathbf{x},1) = \sum_{k\geq 0} (a_{nk})^d =: b_n.$$

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### Aside: exact solutions

When d = 2, we have a good chance of finding an exact solution. For Dyck walks

$$\sum_{\substack{0 \le k \le n \\ 2|(n-k)}} \left[ \frac{k+1}{n+1} \binom{n+1}{\frac{n-k}{2}} \right]^2 = \frac{1}{n+1} \binom{2n}{n}.$$

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▶ When  $d \ge 3$ , exact solutions are rare. For example,  $b_n = \sum_k {n \choose k}^3$  is known not to have an algebraic generating function.

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- ▶ It seems that the work needed is enormous even for rather modest-looking problems. For example, the defining linear differential equation for  $\sum_k {\binom{n-k}{k}}^5$  has order 6 with polynomial coefficients of degree 38. Banderier and Hitczenko report: "Current state of the art algorithms will take more than one day for d = 6, and gigabytes of memory ...."

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- How to do it for general d? Also, the diagonal method does not yield asymptotics that are uniform in the slope of the diagonal; performance away from the main diagonal is bad.

 In order to solve the connection problem for general d, Banderier & Hitczenko used the result of Bóna & Flajolet.

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- Consider the random variable  $X_n$  whose PGF is  $\sum_k a_{nk} y^k / \sum_k a_{nk}$ , mean  $\mu_n$ , variance  $\sigma_n^2$ . If  $(X_n - \sigma_n) / \mu_n$ converges to a continuous limit law with density g, then

$$\pi_{n1} \sim \sigma_n^{-(d-1)} \int_{-\infty}^{\infty} g(x)^d \, dx.$$

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- In general, such methods say nothing about higher order terms, or when there is not a continuous limit. Still, this approach is a useful complement to the above methods.

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  - Asymptotics of algebraic functions via lifting to a rational function in higher dimension (resolution of singularities).
  - ▶ We want numerical approximations for smaller values of n.
- ► This topic was the subject of two papers with Alex Raichev. For example, our 2nd order approximation for ∑<sub>k=0</sub><sup>n</sup> (<sup>n</sup><sub>k</sub>)<sup>5</sup>, even for n = 8, has relative error only 0.5%, but 10% for 1st order.

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- As well as being conceptually simpler, these methods are, I believe, computationally superior.
- For more, see the book (next talk!).

# General asymptotic formula (supercritical Riordan case)

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# General asymptotic formula (supercritical Riordan case)

- ► The simplest result where all F<sub>i</sub> are equal and we seek asymptotics on the main diagonal n = n1 is as follows.
- Suppose F<sub>i</sub>(x, y) = φ(x)/(1 − yv(x)) and φ has radius of convergence large enough. Let c > 0 solve v(c) = 1. Then

$$b_{n1} \sim c^{-dn} n^{-d/2} \sum_l c_l n^{-l}$$
 where  $c_l$  is explicitly computable.

In particular

$$c_{0} = \frac{\phi(c)^{d}}{\sqrt{d}\mu_{v}(c) \left[2\pi \frac{\sigma_{v}^{2}(c)}{\mu_{v}(c)}\right]^{\frac{d-1}{2}}}.$$

# Examples



 $\sum_{k=0}^{n} \binom{n}{k}^{d} \sim \sqrt{\frac{2^{d-1}}{d}} \frac{2^{dn}}{(\pi n)^{\frac{d-1}{2}}}.$ 



## **Examples**

 $\sum_{k=0}^{n} \binom{n}{k}^{d} \sim \sqrt{\frac{2^{d-1}}{d}} \frac{2^{dn}}{(\pi n)^{\frac{d-1}{2}}}.$  $\sum_{k=0}^{n} \binom{n}{k}^{6} \sim 64^{n} \left(\frac{4\sqrt{3}}{3(\pi n)^{\frac{5}{2}}} - \frac{25\sqrt{3}}{9\pi^{\frac{5}{2}}n^{\frac{7}{2}}}\right)$ 

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$$\sum_{k\geq 0} \binom{6n}{k} \binom{3n}{k} \binom{2n}{k} \sim \left(\frac{524288}{729}\right)^{n} \left[\frac{4\sqrt{11}}{33\pi n} - \frac{5446}{395307}\frac{\sqrt{11}}{\pi n^{2}}\right]$$

.

## To be fair ...

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- Once we have the diagonal GF, b<sub>n</sub> will be computable in linear time, while using the multivariate recurrence directly takes time Θ(n<sup>d</sup>). Of course this ignores the time taken to find the diagonal GF.
- Once the diagonal GF is found, the asymptotic extraction is quicker, since it is a univariate problem. The multivariate method typically requires solving systems of algebraic equations.
- I suggest a serious theoretical and experimental comparison of the performance of these methods. If done experimentally, we need to implement the methods equally. I know which one I would bet on to win!