

# Decisiveness, power, values

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References

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## Key references

**FM1998** D. Felsenthal, M. Machover. The Measurement of Voting Power, Edward Elgar, 1998.

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- DNW1981 P. Dubey, A. Neyman, R. J. Weber. Value theory without efficiency. Mathematics of Operations Research 6 (1981), 122–128.

# TU games

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## Key motivating examples of simple games

- ▶ **Unanimity games:** choose  $S \subseteq X$  and let  $v(T) = 1$  if and only if  $S \subseteq T$ . Every member of  $S$  has a veto.

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- ▶ **Weighted majority games**  $[q; w_1, w_2, \dots, w_n]$ . Player  $i$  has weight  $w_i$ ; choose a quota  $q$  and let  $v(S) = 1$  iff  $\sum_{i \in S} w_i \geq q$ . Used to model yes-no voting in committees. Examples: stockholder elections, EU Council of Ministers, ordinary majority voting in Parliament.

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- ▶ **Disequilibrium games:** for a given noncooperative game and fixed profile of actions, declare a subset to be winning if it is a witness to the profile not being a strong Nash equilibrium. Examples: voting rules with the sincere profile.

## Basic concepts of TU games and simple games

monotonicity  $S \subseteq T \implies v(S) \leq v(T)$ .

We usually assume monotonicity for simple games, in which case we need only specify the **minimal winning coalitions** in order to specify the game. A dummy is not an element of any minimal winning coalition.

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**proper simple game**  $S \in W, T \in W \implies S \cap T \neq \emptyset$ .

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- ▶ Treaty of Nice (currently in force) uses weights (totalling 345) but has more conditions. A coalition is winning iff it has at least 50% of the countries, 74% of the weights, 62% of the population.
- ▶ Treaty of Lisbon (from 2014): coalition wins iff it has at least 55% of countries and 65% of population. This method is easily implemented if new members join, and avoids complex negotiations over weights.

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**Dummy**  $\xi_i(G) = 0$  if  $i$  is a dummy in  $G$ .

## The Shapley value

- ▶ Shapley proved that there is a unique efficient value satisfying Anonymity, Dummy and Linearity. Explicitly it is given by

$$\sigma_i(G) = \frac{1}{n!} \sum_{S \subseteq X} (n - |S|)! (|S| - 1)! [v(S) - v(S \setminus \{i\})].$$

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- ▶ The idea is to consider all possible orders of players with equal probability, and give player  $i$  its expected marginal contribution.

## Beyond efficiency

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where  $p(n, k) \geq 0$  and the following identities hold

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- ▶ If all  $p(n, k) \neq 0$ , the semivalue is called **regular**.

## Semivalues

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- ▶ Regular semivalues satisfy **Young sensibility**: if the marginal contribution to each  $S$  is strictly higher in one game than another, then the  $\xi_i$  have the same relation.
- ▶ The class of **probabilistic values** is even more general - the coefficients  $p$  can depend on  $S$  and not just on  $|S|$ .

## Some explicit semivalues

- ▶ (binomial (for fixed  $p \in [0, 1]$ ))

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$$\sigma_i(G) = \sum_{k \geq 1} \frac{1}{k \binom{n}{k}} \sum_{|S|=k, S \subseteq X} [v(S) - v(S \setminus \{i\})].$$

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$$\Phi(G) - \Phi(G_{-\{i\}}) = \xi_i(G)$$

for all  $G = (X, v) \in \mathcal{G}$  such that  $X \neq \emptyset$ . Here  $G_{-\{i\}}$  is the game with player set  $X \setminus \{i\}$  and the same  $v$ .

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- ▶ The initial condition  $\Phi(\emptyset, v) = 0$  is usually assumed.
- ▶ There is a unique efficient value having a potential function, and it is the Shapley value. Explicitly, the potential looks like

$$\Phi(G) = \sum_k \frac{1}{k \binom{n}{k}} \sum_{|S|=k, S \subseteq X} v(S).$$

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- ▶ In particular, every semivalue has a potential function. Explicitly:

$$\Phi(G) = \sum_k p(n, k) \sum_{|S|=k} v(S)$$

the expected value of a coalition chosen randomly according to the weights  $p(n, k)$ .

## Measuring power in simple games

- ▶ There is a long theory of power in voting games (more generally, simple games). One strand goes back to Banzhaf (1965) and earlier, Penrose (1946).

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- ▶ The underlying idea is to measure the extent to which a player is important for winning coalitions. A key observation is that for weighted majority games, the relative power of the players can vary dramatically from the relative weights.
- ▶ Much has been written, but no standard definition of a power measure/index has been agreed. There are many conceptual confusions in the literature and some controversy.

## Concepts of power in simple games

- ▶ Felsenthal and Machover: there are at least two kinds of “power” and previous authors have conflated them. **P-power** deals with distribution of the spoils of power; **I-power** deals with **decisiveness**. The former may not be well-defined, but the latter is. The former is always relative, but the latter is absolute.

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- ▶ Laruelle and Valenciano: there are at least two kinds of situation, and previous authors have conflated them. **Take it or leave it committees** must only vote; **pure bargaining committees** involve complex negotiations. In the first case, decisiveness is not as important as “success”. P-power in fact is related to decisiveness via bargaining committees.



## Decisiveness (individual)

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- ▶ This measure was discovered first for simple games by Penrose and rediscovered by Banzhaf (1965) in the context of a court case over the Nassau County Board of Supervisors (weighted voting game  $[16; 9, 9, 7, 3, 1, 1]$ ).

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- ▶ Again, no standard definition/axioms exist. Note that  $C$  could be generalized to TU games:  $2^{-n} \sum_S v(S)$ .
- ▶ Example: EUCM under Treaty of Nice has  $C \approx 0.02$ . Very hard to pass any motion, hence the need for reform in Treaty of Lisbon (which currently has  $C \approx 0.13$ ).



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- ▶ Idea: define an individual decisiveness measure to be the restriction of a semivalue to  $\mathcal{SG}$ . Note: such functions satisfy Anonymity, Positivity, Dummy, and **Modularity** (the replacement for Linearity).

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- ▶ Efficiency is an obvious requirement if a fixed prize is being divided, and this usually leads to the Shapley value (Shapley-Shubik index). Otherwise efficiency is meaningless and should be dropped.
- ▶ Collective decisiveness certainly is important, so individual power measures do measure something important, even if it is not “power”.

## Manipulation and query model

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- ▶ Let  $\bar{Q}$  be the expected number of queries required. Then  $n + 1 - \bar{Q}$  is essentially the potential of the Shapley value of the manipulation game.
- ▶ If each voter can have a different cost to recruit (as in bribery), a TU (cost) game is more appropriate than a simple game, but similar ideas should work.

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- ▶ This is a substantial advance in the theory of measures of manipulability. There has been no definition of what such a measure should be, and no desirable axioms listed. Previous measures have been rather crude.
- ▶ Some (not all) of the previously used measures can be interpreted as semivalues, but not always regular ones. Our new approach allows a principled choice of measure for a given situation.

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- ▶ Assuming risk-neutral voters, there are two types of minimal winning coalitions: a single  $cba$  (respectively  $bca$ ) votes for  $b$  (respectively  $c$ ). Note that this game is not strong.
- ▶ The winning coalitions are those containing at least one  $bca$  or  $cba$ . The Coleman index is  $15/16$ . The relative Banzhaf (or Shapley-Shubik) index of each  $cba$  or  $bca$  is  $1/4$ , and  $abc$  voters are dummies.